

新加坡注册风控

Company Name 公司名称

Basic company information, activities of the company 公司详细资料（请说明经营范围，经营情况和活动）例如：销售，什么类型的销售？什么样的经营模式？

Reason for choosing to use an offshore company 为什么选择使用离岸公司？例如：顾客是中国人，为什么会选择在新加坡成立公司，而不是中国？

Purpose of the structure 公司的目的， 发展计划

If company activities related IT and technology 如果从事的业务是科技，软件，或是 IT，需举例和详细说明
*Please provide usage and business model of your company if the activity is related to blockchain 如果活动与区块链有关，请提供贵公司的使用用途和商业模式

Expected type and Volume of the transactions (turnover per annum of the company, how is income is received) 年营业额以及预期的业务笔数，款项进出的方式？

Sources of fund eg: Share Capital of shareholders 股份资本资金来源 * Please explain if shares capital is \$50,000 and above. 股份资金 \$50,000 或已上请说明资金来源

Please specify the original of the company's basic equity, the type of the transactions, volume, country of origin and country of beneficiary of these funds. 请描述关联公司或者关联业务的基本情况，请列举出关联公司或者业务的交易往来的国家，公司名字。（有助于了解客户的业务背景，从而比较准确的了解客户在新加坡成立公司目的）

Risk Assessment 风险评估

CLIENT'S RISK FACTORS 客户风险因素

Is this a new customer? 这是新客户吗？

YES NO

The customer is a public company listed on a stock exchange NOT subject to disclosure requirements. 客户为在证券交易所上市的上市公司，无须遵守披露要求。

YES NO

Is the customer a legal person or an entity that can hold company assets in its own name? 客户是以自己的名义持有公司资产的法人或实体吗?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Does the customer use nominee director(s) or shareholder(s)? 客户是否使用挂名董事或股东?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Does the ownership structure of the customer appear unusual or excessively complex given the nature of its business? 就其业务性质而言, 客户的所有权结构是否显得异常或过于复杂?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Is the customer's business cash-intensive? 客户的业务是否现金密集?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Does the customer frequently make unaccounted cash transactions to similar recipient(s)? 客户是否经常向类似的收款人进行未入账的现金交易?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Do the proposed directors/partners/shareholders have prior criminal convictions involving fraud or dishonesty? 拟出任董事/合伙人/股东是否有涉及欺诈或不诚实行为的前科、刑事定罪?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Is any of the customer, beneficial owner or its agent a politically exposed person? 客户、受益所有人或其代理人是否有政治风险?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Are the customer's company accounts outdated? 客户的公司账户是否过期?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Do the customer's shareholders and/or directors frequently change, and the changes are NOT within reason? 客户的股东和/或董事是否经常变更, 且变更不合理?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Is there any problem obtaining evidence of identification from the customer for both the customer and beneficiary owner(s); and/or is the documentation found to be unsatisfactory? 从客户处取得客户和受益人的身份证明是否有问题; 和/或是否发现文件不符合要求?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Is the customer a charitable or non-profit organisation that is not registered in Singapore (charities.gov.sg/charity/index.do)? 客户是否是不在新加坡注册的慈善或非赢利机构?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
COUNTRY RISK FACTORS 国家风险因素		
Is the customer connected to or transacting with a country or a territory that is identified as not having adequate anti-money laundering or counter financing terrorism measures? 客户是否与某个国家或地区有关联, 或与之进行交易, 而该国家或地区被认定没有采取适当的反洗钱或反融资恐怖主义措施?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Is the customer connected to or transacting with a country or a territory that is identified to having significant levels of corruption or other criminal activity? 客户是否与被认定存在严重腐败或其他犯罪活动的国家或地区有关或进行交易?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Is the customer connected to or transacting with a country or a territory that is sanctioned by a regulatory body, such as the United Nations (UN)? 客户是否与监管机构(如联合国)认可的国家或地区相关联或进行交易?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
Is the customer connected to or transacting with a country or a territory that is identified to be funding or supporting terrorist activities or that have designated terrorist organisations operating within their territories? 客户是否与某个国家或地区有联系或进行交易, 该国家或地区被确认为资助或支持恐怖活动, 或已指	<input type="checkbox"/> YES	<input type="checkbox"/> NO

定在其境内活动的恐怖组织?	
SERVICE RISK FACTORS 服务风险因素	
Has the customer given any instruction to perform a transaction (which may include cash) anonymously? 客户是否给予任何指示以匿名进行交易(可能包括现金)?	<input type="checkbox"/> YES <input type="checkbox"/> NO
Has the customer transferred any funds without the provision of underlying services or transactions? 客户是否在没有提供基础服务或交易的情况下转移了任何资金?	<input type="checkbox"/> YES <input type="checkbox"/> NO
Are there unusual patterns of transactions that have no apparent economic purpose or cash payments that are large in amount, in which disbursement would have been normally made by other modes of payment (such as cheque, bank drafts etc.)? 是否存在不寻常的交易模式, 没有明显的经济目的, 或金额巨大的现金支付, 而在这种情况下, 支付通常会通过其他支付方式(如支票、银行汇票等)进行?	<input type="checkbox"/> YES <input type="checkbox"/> NO
Are there unaccounted payments received from unknown or un-associated third parties for services and/or transactions provided by the customer? 客户提供的服务和/或交易是否有来自未知或无关联第三方的未入账款项?	<input type="checkbox"/> YES <input type="checkbox"/> NO
Is there instruction from the customer to incorporate shell companies with nominee shareholder(s) and/or director(s)? 客户是否有指示成立有挂名股东及/或董事的空壳公司?	<input type="checkbox"/> YES <input type="checkbox"/> NO
Does the customer purchase companies or business entities that have no obvious commercial purpose? 客户是否购买没有明显商业目的的公司或商业实体?	<input type="checkbox"/> YES <input type="checkbox"/> NO
Are there business relationships that were established, or transactions performed without any physical meeting? 是否建立了在没有任何实际会议的情况下进行的交易或业务关系?	<input type="checkbox"/> YES <input type="checkbox"/> NO
Is there any divergence in the type, volume or frequency of services and/or transactions expected in the course of the business relationship with the customer? 在与客户的业务关系中, 预期的服务和/或交易的类型、数量或频率是否存在差异?	<input type="checkbox"/> YES <input type="checkbox"/> NO

Declaration 声明与签字

I declare that the information provided in this form is true and correct. I am aware that I may be subjected to prosecution and criminal sanctions under written law if I am found to have made false statement which I know to be false, or which I do not believe to be true, or if I have intentionally suppressed any material fact. 我在本表中所提供的信息是真实和准确的。我明白, 提供虚假或具有误导性信息或不提供信息可能遭到刑事处分。

Signature 签字

Name of customer 委托人姓名

Date 委托日期